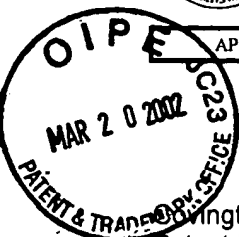


#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/022,859	12/20/2001	J. Michael Ramstack	000166.0108-US01

CONFIRMATION NO. 1415

FORMALITIES LETTER



OC000000007359154

Washington & Burling
Patent Docketing
1201 Pennsylvania Avenue, NW
Washington, DC 20004-2401

Date Mailed: 01/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$876.
 - \$792 for 44 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1746.**

A copy of this notice **MUST** be returned with the reply.

A. Manalac
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/21/2002 JADD01
00000084 10022859
740.00 OP
84.00 OP
792.00 OP
130.00 OP
01 FC:101
02 FC:102
03 FC:103
04 FC:105



Docket No.: 000166.0108-US01
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
J. Michael Ramstack, Ph.D., et al.

Application No.: 10/022,859

Group Art Unit: 1615

Filed: December 20, 2001

Examiner: Not Yet Assigned

For: PREPARATION OF MICROPARTICLES
HAVING IMPROVED FLOWABILITY

TRANSMITTAL LETTER

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced
Patent Application:

1. Fee Transmittal;
2. Response to the Notice to File Missing Parts of Application (in duplicate);
3. Copy of the Notice to File Missing Parts of Nonprovisional Application;
4. Declaration for Patent Application;
5. Power of Attorney;
6. Statement under 37 CFR 3.73(b) with a copy of the Assignment document;
7. Information Disclosure Statement;
8. Form PTO-1449;

9. Check No. 293015 for \$1,746.00 to cover:
\$740.00 basic filing fee;
\$876.00 additional claims fee;
\$130.00 surcharge for late filing of the Declaration; and
10. Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 000166.0108-US01. A duplicate copy of this paper is enclosed.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 50-0740.

Dated: March 20, 2002

Respectfully submitted,

By

Andrea G. Reister

Registration No.: 36,253

COVINGTON & BURLING

1201 Pennsylvania Avenue, N.W.

Washington, DC 20004-2401

(202) 662-6000



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
<input type="checkbox"/> Application claims small entity status. See 37 CFR 1.27		Application Number	10/022,859-Conf. #1415
TOTAL AMOUNT OF PAYMENT (\$)		Filing Date	December 20, 2001
1,746.00		First Named Inventor	J. Michael Ramstack, Ph.D.
		Examiner Name	Not Yet Assigned
		Group Art Unit	1615
		Attorney Docket No.	000166.0108-US01

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
<input checked="" type="checkbox"/> Check	<input type="checkbox"/> Credit Card	3. ADDITIONAL FEES	
<input type="checkbox"/> Deposit Account	<input type="checkbox"/> Money Order		
<input type="checkbox"/> Other	<input type="checkbox"/> None		
Deposit Account Number	50-0740		
Deposit Account Name	Covington & Burling		
The Commissioner is hereby authorized to: (check all that apply)			
<input type="checkbox"/> Charge fee(s) indicated below			
<input checked="" type="checkbox"/> Credit any overpayments			
<input type="checkbox"/> Charge any additional fee(s) during the pendency of this application			
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.			

FEE CALCULATION	
1. BASIC FILING FEE	
Large Entity	Small Entity
Fee Code	Fee Code
Fee (\$)	Fee (\$)
Fee Description	Fee Paid
101 740	201 370
Utility filing fee	740.00
106 330	206 165
Design filing fee	
107 510	207 255
Plant filing fee	
108 740	208 370
Reissue filing fee	
114 160	214 80
Provisional filing fee	
SUBTOTAL (1) (\$)	
740.00	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	
Total Claims	64
-20** =	44
Extra Claims	18.00
Fee from below	792.00
Independent Claims	4
-3** =	1
Multiple Dependent	84.00
Fee Paid	84.00

Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)
Fee Description			
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)		876.00	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Andrea G. Reister	Registration No. (Attorney/Agent)	36,253
Signature		Telephone	(202) 662-6000
		Date	March 20, 2002

FEE CALCULATION (continued)	
Large Entity	Small Entity
Fee Code	Fee Code
Fee (\$)	Fee (\$)
Fee Description	Fee Paid
105 130	205 65
Surcharge - late filing fee or oath	130.00
127 50	227 25
Surcharge - late provisional filing fee or cover sheet	
139 130	139 130
Non-English specification	
147 2,520	147 2,520
For filing a request for ex parte reexamination	
112 920*	112 920*
Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*
Requesting publication of SIR after Examiner action	
115 110	215 55
Extension for reply within first month	
116 400	216 200
Extension for reply within second month	
117 920	217 460
Extension for reply within third month	
118 1,440	218 720
Extension for reply within fourth month	
128 1,960	228 980
Extension for reply within fifth month	
119 320	219 160
Notice of Appeal	
120 320	220 160
Filing a brief in support of an appeal	
121 280	221 140
Request for oral hearing	
138 1,510	138 1,510
Petition to institute a public use proceeding	
140 110	240 55
Petition to revive - unavoidable	
141 1,280	241 640
Petition to revive - unintentional	
142 1,280	242 640
Utility issue fee (or reissue)	
143 460	243 230
Design issue fee	
144 620	244 310
Plant issue fee	
122 130	122 130
Petitions to the Commissioner	
123 50	123 50
Processing fee under 37 CFR 1.17(q)	
126 180	126 180
Submission of Information Disclosure Stmt	
581 40	581 40
Recording each patent assignment per property (times number of properties)	
146 740	246 370
Filing a submission after final rejection (37 CFR 1.129(a))	
149 740	249 370
For each additional invention to be examined (37 CFR 1.129(h))	
179 740	279 370
Request for Continued Examination (RCE)	
169 900	169 900
Request for expedited examination of a design application	
Other fee (specify)	
SUBTOTAL (3) (\$)	
130.00	

SUBTOTAL (2)		SUBTOTAL (3)	
(\$)	876.00	(\$)	130.00

**or number previously paid, if greater; For Reissues, see above



Docket No.: 000166.0108-US01
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
J. Michael Ramstack, Ph.D., et al.

Application No.: 10/022,859

Group Art Unit: 1615

Filed: December 20, 2001

Examiner: Not Yet Assigned

For: PREPARATION OF MICROPARTICLES
HAVING IMPROVED FLOWABILITY

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted
mailed January 25, 2002, Applicants respectfully submit the following documents:

1. Fee Transmittal;
2. Copy of the Notice to File Missing Parts of Nonprovisional Application;
3. Declaration for Patent Application;
4. Power of Attorney;
5. Statement under 37 CFR 3.73(b) with a copy of the Assignment;
6. Information Disclosure Statement;
7. Form PTO-1449;


8. Check No. 293015 for \$1,746.00 to cover:
 - \$740.00 basic filing fee;
 - \$876.00 additional claims fee;
 - \$130.00 surcharge for late filing of the Declaration; and
9. Return receipt postcard.

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The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 000166.0108-US01. A duplicate copy of this paper is enclosed.

Dated: March 20, 2002

Respectfully submitted,

By 
Andrea G. Reister
Registration No.: 36,253
COVINGTON & BURLING
1201 Pennsylvania Avenue, N.W.
Washington, DC 20004-2401

(202) 662-6000